

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

NORTHWEST BIOTHERAPEUTICS, INC.,

Plaintiff,

v.

CANACCORD GENUITY LLC, CITADEL
SECURITIES LLC, G1 EXECUTION SERVICES
LLC, GTS SECURITIES LLC, INSTINET LLC,
LIME TRADING CORP., SUSQUEHANNA
INTERNATIONAL GROUP LLP, and VIRTU
AMERICAS LLC,

Defendants.

Case No. 1:22-cv-10185

RULE 7.1 STATEMENT OF DEFENDANT GTS SECURITIES LLC

Pursuant to Federal Rule of Civil Procedure 7.1(a), defendant GTS Securities LLC, through its counsel, states that GTS Securities LLC is a subsidiary of GTS Financial LLC. No publicly held corporation owns 10% or more of GTS Securities LLC's or GTS Financial LLC's stock.

Dated: December 12, 2022

Respectfully submitted,

/s/ Peter G. Wilson

Peter G. Wilson

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